

2 0 1 5  
ARTIST-ENDOWED  
FOUNDATION  
**LEADERSHIP FORUM**

# ISSUES AND INNOVATIONS IN PRACTICE AND POLICY

*An Invitational Symposium for  
Senior Artist-Endowed Foundation Leaders*

PRESENTED BY  
**The Hoffman Forum**

SPONSORED BY  
**The Aspen Institute Artist-Endowed  
Foundations Initiative/AEFI**

IN COOPERATION WITH  
**University of Miami School of Law**

HOSTED BY  
**The Museum of Modern Art**

  
THE ASPEN INSTITUTE  
Philanthropy & Social Innovation  
ARTIST-ENDOWED  
FOUNDATIONS  
**INITIATIVE**

[www.aspeninstitute.org/AEFI](http://www.aspeninstitute.org/AEFI)

# FORUM OVERVIEW

**DATE & TIME:** Friday, October 30, 2015 | 8:30 a.m. – 7:00 p.m.

**EVENT SITES:** **The Museum Of Modern Art**  
The Lewis B. and Dorothy Cullman Education  
and Research Building  
4 West 54th Street, between 5th and 6th Avenues, NYC, NY 10019

**Il Gattopardo Restaurant (Luncheon)**  
13-15 West 54th Street, NYC, NY 10019

## SUMMARY SCHEDULE

<b>8:30 A.M.</b>	Check-In, Continental Breakfast and Networking
<b>9:30 A.M.</b>	Welcoming Remarks and Introductions
<b>10:00 A.M.</b>	Panel I – <i>Issue Briefing:</i> <i>CAA Code Of Best Practices for Fair Use in the Visual Arts</i>
<b>10:45 A.M.</b>	Panel II – <i>Spotlight:</i> <i>Innovations in Artist-Endowed Foundation Practice</i>
<b>11:45 A.M.</b>	Break and Transition to Luncheon Site
<b>12:15 P.M.</b>	Luncheon and Program – <i>Charitable Impact:</i> <i>Art Stewardship and Cultural Philanthropy</i>
<b>2:00 P.M.</b>	Break and Transition to Forum Site
<b>2:30 P.M.</b>	Panel III – <i>View From Abroad:</i> <i>Resale Royalties, a Charitable Resource?</i>
<b>3:30 P.M.</b>	Panel IV – <i>Leadership Perspectives:</i> <i>Critical Junctures in the Foundation Life Cycle</i>
<b>4:30 P.M.</b>	Break
<b>4:45 P.M.</b>	Panel V – <i>Updates on Art Authentication and Attribution:</i> <i>Policy and Technology</i>
<b>5:45 P.M.</b>	Closing Remarks and Appreciations
<b>6:00 P.M.</b>	Reception, Networking And Archive Viewing
<b>7:00 P.M.</b>	Reception Concludes

*Detailed schedule, following, includes links to recommended pre-event readings.*

# AGENDA

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ARTIST-ENDOWED  
FOUNDATION  
LEADERSHIP FORUM

## ISSUES AND INNOVATIONS IN PRACTICE AND POLICY

*An Invitational Symposium for  
Senior Artist-Endowed Foundation Leaders*

### FORUM PURPOSE

Strengthen the charitable impact of the emerging artist-endowed foundation field by:

- Fostering exchange among senior foundation leaders on issues and innovations in practice and policy influencing their work in art stewardship and cultural philanthropy; and
- Informing AEFI's research, publication and knowledge-sharing programs.

### DETAILED SCHEDULE

**FRIDAY, OCTOBER 30, 2015**

The Museum of Modern Art

The Lewis B. and Dorothy Cullman Education and Research Building  
4 West 54th Street, between 5th and 6th Avenues, NYC, NY 10019

**8:30 A.M.** Participant Check-In, Networking and Continental Breakfast  
(Lobby of The Celeste Bartos Theater)

**9:30 A.M.** **Welcoming Remarks and Introductions**  
(The Celeste Bartos Theater)

**Glenn D. Lowry**

Director

The Museum of Modern Art

**Charles C. Bergman**

Chairman and CEO

The Pollock-Krasner Foundation

Honorary Chair, AEFI Advisors

**Patricia D. White**

Dean

University of Miami School of Law

**Dennis Scholl**

JD '81, University of Miami School of Law

**Christine J. Vincent**

Project Director

Artist-Endowed Foundations Initiative/AEFI

The Aspen Institute

**10:00 A.M.**

**PANEL 1: *Issue Briefing: College Art Association Code of Best Practices in Fair Use for the Visual Arts***

**PURPOSE:**

Review the New CAA Code on Fair Use, Supporting Expanded Non-permissioned Use of Copyrights Under the Fair Use Provision

**FORMAT:**

Moderated Presentation by CAA Leaders and Advisors, Followed by Audience Q&A

**PRE-EVENT  
READING:**

CAA Code of Best Practices in Fair Use for the Visual Arts. [www.collegeart.org/pdf/fair-use/best-practices-fair-use-visual-arts.pdf](http://www.collegeart.org/pdf/fair-use/best-practices-fair-use-visual-arts.pdf)

CAA News: CAA Publications Will Now Rely on the Doctrine of Fair Use In Publishing Copyrighted Material <http://www.collegeart.org/news/2015/07/13/caas-art-bulletin-art-journal-and-caa-reviews-will-now-rely-on-the-doctrine-of-fair-use-in-publishing-copyrighted-material/>

**PANELISTS:**

**Richard Dannay**

Counsel

Cowan Liebowitz & Latman, P.C.

Member, Project Legal Advisory Committee

**DeWitt Godfrey**

Chair

Department of Art and Art History

Colgate University

President, College Art Association

**Anne Collins Goodyear**  
Co-Director  
Bowdoin College Museum of Art  
Past President, College Art Association  
Project Principal Investigator

**Christine Sundt**  
Editor  
*Visual Resources Journal*  
Project Advisor

**MODERATOR:** **Stephen K. Urice**  
Professor of Law  
University of Miami School of Law  
AEFI Advisor

**10:45 A.M.** **PANEL II - *Spotlight: Innovations in Artist-Endowed Foundation Practice***

**PURPOSE:** Stimulate Discussion on Potential Strategies and New Efforts Advancing Artist-Endowed Foundation Practice

**FORMAT:** Moderated Panel of Foundation Leaders,  
Followed by Audience Q&A

**TOPICS:** *Giving Away the Copyrights*  
**Christy MacLear**  
Executive Director  
Robert Rauschenberg Foundation

*Leading a Public Conversation About the Art Form*  
**Sarah Herda**  
Executive Director  
Graham Foundation for Advanced Studies in the Visual Arts

*Selling All the Art to Focus on New Grantmaking Strategies*  
**Joel Wachs**  
President  
The Andy Warhol Foundation for the Visual Arts

*Proposed: Match.org for Museum Gifts*

**Pam Johnson**

President

The Destina Foundation and Dorothea Tanning Foundation

**MODERATOR:**

**Alberta Arthurs**

Former Director, Arts and Humanities

Rockefeller Foundation

AEFI Advisor

**11:45 A.M.**

**Break and Transition to Luncheon Site**

Il Gattopardo Restaurant

13-15 West 54th Street, NYC, NY 10019

**12:15 P.M.**

**Luncheon and Program**

**RESEARCH**

*Highlights: Five Trends Shaping the Artist-Endowed*

**UPDATE:**

*Foundation Field*

**Christine J. Vincent**

Project Director

Artist-Endowed Foundations Initiative/AEFI

The Aspen Institute

**KEYNOTE**

**CONVERSATION:**

*Charitable Impact: Art Stewardship and Cultural Philanthropy*

**PURPOSE:**

Consider Artist-Endowed Foundations' Special

Opportunities for Charitable Impact in the World Today

**FORMAT:**

Moderated Conversation, Followed by Audience Q&A

**PRE-EVENT**

Art + Practice Foundation - <http://artandpractice.org/>

**READING:**

The Art of Change: A Ford Foundation Project

Exploring the Interplay of Art and Social Justice in the

World Today - [www.artofchange.is](http://www.artofchange.is)

**FEATURED**

**Mark Bradford**

**SPEAKERS:**

Artist and Co-Founder

Art + Practice Foundation

**Darren Walker**  
President  
Ford Foundation

**INTRODUCTION:** **Charles C. Bergman**  
Chairman and CEO  
The Pollock-Krasner Foundation  
Honorary Chair, AEFI Advisors

**INTERLOCUTOR:** **Christine J. Vincent**

**2:00 P.M.** **Break and Transition to Forum Site**  
The Museum of Modern Art | The Lewis B. and Dorothy  
Cullman Education and Research Building  
4 West 54th Street, between 5th and 6th Avenues, NY 10019

**2:30 P.M.** **PANEL III - *View From Abroad:***  
***Resale Royalties, a Charitable Resource?***  
(The Celeste Bartos Theater)

**PURPOSE:** Hear from EU Colleagues About Their Experiences with the  
Resale Royalty as a Source of Charitable Capital

**FORMAT:** Moderated Presentation by Foundation Leaders and Experts,  
Followed by Audience Q&A

**PRE-EVENT  
READING:** Resale Royalties: An Updated Analysis, US Copyright Office  
[www.copyright.gov/docs/resaleroyalty/usco-resaleroyalty.pdf](http://www.copyright.gov/docs/resaleroyalty/usco-resaleroyalty.pdf)

**TOPICS:** *Charitable Dimensions of the Resale Royalty System  
in Germany and the EU*

**Dr. Anke Schierholz**  
Legal Counsel, VG Bild-Kunst  
Stiftung Kulturwerk  
Stiftung Sozialwerk

*An Artist-Endowed Foundation's Experience with the  
Resale Royalty in Germany*

**Dr. Christian Ring**  
Director  
Nolde Stiftung Seebüll

*An Artist-Endowed Foundation's Experience with the Resale Royalty in the UK*

**Richard Calvocoressi**

Former Director

The Henry Moore Foundation

AEFI Advisor

*Reflections: The History of Resale Royalty Proposals in the US*

**Robert Panzer**

President

VAGA Rights

**MODERATOR:**

**Caroline Bradley**

Professor of Law

Chair, LL.M., International Law

University of Miami School of Law

**3:30 P.M.**

**PANEL IV - Leadership Perspectives:**

*Critical Junctures in the Foundation Life Cycle*

*Panel Presentation and Audience Q&A are Confidential.*

*This is a Closed-Door Session*

**PURPOSE:**

Share Experiences Navigating Foundations' Institutional Evolution

**FORMAT:**

Moderated Discussion Among Foundation Leaders, Followed by Audience Q&A

**TOPICS:**

*Look to the Future: Planning for Board and Executive Succession*

*Habit or Intention: Re-aligning Programs to Mission*

*The Hot Seat: Making Progress Amid Strife*

*Sustainability: Strategizing Financial Growth and Stability*

*Not Forever: Termination or Rebirth?*

**PANELISTS:**

**Christa Blatchford**

CEO

Joan Mitchell Foundation

**Jack Cowart**

Executive Director

Roy Lichtenstein Foundation



**Leah Levy**  
Director  
The Jay DeFeo Trust

**Mark McKenna**  
Executive Director  
Herb Ritts Foundation

**Morgan Spangle**  
Executive Director  
Dedalus Foundation

**MODERATOR:** **Michael Conforti**  
Director Emeritus  
The Clark Art Institute

**4:30 P.M.** **Break**

**4:45 P.M.** **PANEL V – *Updates on Art Authentication and Attribution: Policy and Technology***

**PURPOSE:** Provide Fresh Information on Developments in Art Authentication and Attribution

**FORMAT:** Moderated Presentations by Experts and Artists, Followed by Audience Q&A

**PRE-EVENT READING:** Topic A: New York State Legislature:  
Bill S1229-A-2015 - A1018-A-2015  
[www.nysenate.gov/legislation/bills/2015/S1229A](http://www.nysenate.gov/legislation/bills/2015/S1229A)

Topic B: University at Albany/SUNY  
The Global Center of Innovation for the i2M Standards  
[www.i2mstandards.org](http://www.i2mstandards.org)

**TOPICS:** Topic A: A Policy to Strengthen Protections for Those Authenticating Artists' Works. New York State Legislature, proposed: *"An act to amend the arts and cultural affairs law, in relation to opinions concerning authenticity, attribution and authorship of works of art."*  
Association of the Bar of the City of New York

**Dean R. Nicyper**  
Partner  
Withers Bergman LLP  
Chair, Art Law Committee

**Judith A. Bresler**  
Counsel  
Withers Bergman LLP  
Chair, Authenticity Subcommittee

Topic B: A Technology Intervention by Artists at the Inception of Their Oeuvres: *International, Standards-Based, Advanced-Science Solutions to Mark Safely, Invisibly and Securely Artists' Works as They Leave the Studio and to Solve on a Go-Forward Basis the Art Market Problem of Faked and Forged Art*

University at Albany/SUNY  
The Global Center of Innovation for the i2M Standards

**Sam Salman**  
Chairman  
NRS Associates LLC  
Technical Advisor

**Martin Tenniswood**  
Empire Innovations Professor  
University at Albany/SUNY  
Lead Scientist

**Eric Fischl**  
**Jane and Louise Wilson**  
Technology First-Adopting Artists

**MODERATOR:** **James L. Coddington**  
The Agnes Gund Chief Conservator  
The Museum of Modern Art

**5:45 P.M.** Closing Remarks and Appreciations

**6:00 P.M.** Reception, Networking and Archive Viewing  
(The Museum of Modern Art Archives)

**7:00 P.M.** Reception Concludes

# FEATURED SPEAKERS



Courtesy of the artist

## **MARK BRADFORD**

### **Artist and Co-Founder, Art + Practice Foundation**

Mark Bradford (born in Los Angeles, CA 1961) is an artist and co-founder of Art + Practice, an arts and education private-operating foundation based in Leimart Park, Los Angeles. Art + Practice was founded in 2013 with a mandate to create an educational platform that supports the acquisition of practical skills for foster youth while emphasizing the cultural importance of art within a larger social context. The foundation runs in partnership with three organizations that share its core values: the Hammer Museum, which curates exhibitions and public programs on the A+P campus; The RightWay Foundation, which oversees the foundation's foster youth services and EsoWon Bookstore, a black-owned business, community hub, and neighborhood institution, which directs A+P's lecture series.

Bradford's art practice is equally influenced by his formative years working in his mother's hairdressing salon in South Los Angeles and his studies in painting at California Institute of the Arts. His work incorporates material from the urban landscape and explores notions of cultural identity while experimenting with new processes of abstract painting.

Bradford has shown extensively in international and national exhibitions. Recent solo shows include 'Tears of a Tree', The Rockbund Art Museum, Shanghai, China (2015); 'Sea Monsters', Gemeentemuseum den Haag, The Hague, Netherlands (2015); 'Scorched Earth', Hammer Museum, Los Angeles CA (2015); 'MATRIX 172', Wadsworth Atheneum Museum of Art, Hartford CT (2015); The Rose Art Museum, Waltham MA (2014). He has received grants from the Louis Comfort Tiffany, Nancy Graves and Joan Mitchell Foundations, as well as the Bucksbaum Award. A United State Artists and MacArthur Fellow, this year Bradford was honored with the National Medal of the Arts.



**DARREN WALKER**  
**President, Ford Foundation**

Darren Walker is president of the Ford Foundation, the nation’s second largest philanthropy. For more than two decades he has been a leader in the nonprofit and philanthropic sectors, working on an array of social justice issues, including education, human rights, urban development, and free expression. Before joining Ford, he was vice president at the Rockefeller Foundation.

Following a decade-long career in international law and finance at Cleary Gottlieb Steen & Hamilton and UBS, he served as COO of Harlem’s leading community development organization, the Abyssinian Development Corporation, where he oversaw development of more than 1,000 new units of low-income housing, among other achievements.

He is a member of the boards of the Arcus Foundation, Rockefeller Philanthropy Advisors, the High Line, the New York City Ballet, and the Foundation for Art and Preservation in Embassies. He is also a member of the Council on Foreign Relations and the American Academy of Arts and Sciences. In 2009, Darren received the “Distinguished Alumnus Award,” the highest honor given by his alma mater, the University of Texas at Austin.

# GUEST SPEAKERS & MODERATORS

**ALBERTA ARTHURS**

Former Director, Arts and Humanities  
Rockefeller Foundation  
AEFI Advisor

**MARK BRADFORD**

Artist and Co-Founder  
Art + Practice Foundation

**CAROLINE BRADLEY**

Professor of Law  
Chair, LL.M., International Law  
University of Miami School of Law

**JUDITH A. BRESLER**

Counsel  
Withers Bergman LLP  
Chair, Authenticity Subcommittee  
Art Law Committee  
Association of the Bar of the City  
of New York

**RICHARD CALVOCORESSI**

Former Director  
The Henry Moore Foundation  
AEFI Advisor

**JAMES L. CODDINGTON**

The Agnes Gund Chief Conservator  
The Museum of Modern Art

**MICHAEL CONFORTI**

Director Emeritus  
The Clark Art Institute

**RICHARD DANNAY**

Counsel  
Cowan Liebowitz & Latman, P.C.  
Member, Legal Advisory Committee  
College Art Association

**ERIC FISCHL**

Artist

**DEWITT GODFREY**

Chair, Department of Art and  
Art History  
Colgate University  
President, College Art Association

**ANNE COLLINS GOODYEAR**

Co-Director  
Bowdoin College Museum of Art  
Past President, College Art Association

**GLENN D. LOWRY**

Director  
The Museum of Modern Art

**DEAN R. NICYPER**

Partner  
Withers Bergman LLP  
Chair, Art Law Committee  
Association of the Bar of the City of  
New York

**ROBERT PANZER**

President  
VAGA Rights

**DR. CHRISTIAN RING**

Director  
Nolde Stiftung Seebüll

**SAM SALMAN**

Chairman  
NRS Associates LLC  
Technical Advisor  
University at Albany/SUNY  
The Global Center of Innovation for  
the i2M Standards

**DR. ANKE SCHIERHOLZ**

Legal Counsel  
VG Bild-Kunst  
Stiftung Kulturwerk  
Stiftung Sozialwerk

**DENNIS SCHOLL**

Former Vice President for Arts  
Advisor to the President  
John F. and James L. Knight Foundation

**CHRISTINE SUNDT**

Editor  
*Visual Resources Journal*

**MARTIN TENNISWOOD**

Empire Innovations Professor  
University at Albany  
Lead Scientist  
University at Albany/SUNY  
The Global Center of Innovation for  
the i2M Standards

**STEPHEN K. URICE**

Professor of Law  
University of Miami School of Law  
AEFI Advisor

**DARREN WALKER**

President  
Ford Foundation

**PATRICIA D. WHITE**

Dean  
University of Miami School of Law

**JANE AND LOUISE WILSON**

Artists

# PARTICIPANT GUIDELINES

**The 2015 Artist-Endowed Foundation Leadership Forum** (2015 AEF Leadership Forum) is presented by The Hoffman Forum, sponsored by The Aspen Institute Artist-Endowed Foundation Initiative/AEFI in cooperation with University of Miami School of Law, and hosted by The Museum of Modern Art. The event is designed to encourage collegial exchange and mutual learning on issues and innovations in policy and practice among senior leaders of artist-endowed foundations, as well as to inform the research, publication and knowledge sharing programs of The Aspen Institute's Artist-Endowed Foundations Initiative/AEFI, all with the mission to strengthen the charitable impact of the emerging artist-endowed foundation field. The following participant guidelines support that mission. By registering, participants agree to comply with these guidelines.

## **ELIGIBILITY**

The 2015 AEF Leadership Forum is an invitational symposium exclusively for senior leaders of artist-endowed foundations, specifically those individuals who hold policy-setting positions in roles such as Executive Staff, Board Member, Officer, or Trustee. Non-transferrable invitations to participate in the 2015 AEF Leadership Forum are made at the sole discretion of AEFI.

## **EDUCATIONAL ACKNOWLEDGEMENT**

All AEFI programs, including the 2015 AEF Leadership Forum, are strictly educational and informational in nature and do not purport to provide legal advice or professional guidance to particular individuals or organizations. All participants acknowledge that the 2015 AEF Leadership Forum, and the practitioners and scholars serving as panelists and speakers for the program, are providing educational and informational content, and that each participant is responsible for securing the necessary qualified legal and professional advice required specifically by themselves and their organization.

## **CODE OF CONDUCT**

All program participants will conduct themselves in a respectful and professional manner, bringing credit to their organization and to the 2015 AEF Leadership Forum and its presenter, sponsors and host. In addition, to ensure the type of frank commentary that is essential for collegial learning, certain panels of the day's program will be conducted as confidential, closed-door sessions; all remarks by panelists as well as the audience's questions and discussions are confidential. All program participants agree to abide by this rule.

### **NON-SOLICITATION**

The 2015 AEF Leadership Forum is a non-solicitation environment. Accordingly, fundraising and business solicitation are not permitted.

### **PHOTO RELEASE**

AEFI will on occasion use photographs of persons participating in the 2015 AEF Leadership Forum in its educational and informational materials, including on its webpage - [www.aspeninstitute.org/aefi](http://www.aspeninstitute.org/aefi). All participants in the 2015 AEF Leadership Forum acknowledge that the official photographer for the event may photograph them during the program and that AEFI may use the photographs in its educational and information materials.

### **FORUM PARTICIPANT LIST**

To facilitate collegial exchange, participants in the 2015 AEF Leadership Forum will be provided a list of registered participants along with their contact information. This list is to be used solely by the participant and exclusively to contact other participants and is not to be shared or used in any other way.



# FORUM STAFF

## FORUM CO-DIRECTORS

### **STEPHEN K. URICE**

Professor of Law  
University of Miami School of Law  
AEFI Advisor

### **CHRISTINE J. VINCENT**

Project Director  
Artist-Endowed Foundation Initiative/AEFI  
The Aspen Institute

## FORUM ADMINISTRATIVE DIRECTOR

### **TRACEY A. RUTNIK**

Deputy Director  
Program on Philanthropy and Social Innovation  
The Aspen Institute

## FORUM ADMINISTRATIVE ASSOCIATE

### **SANDHYA DESHETTY**

Consultant  
Program on Philanthropy and Social Innovation  
The Aspen Institute

## FORUM INTERNS

### **KATHERINE BRENNAN**

J.D./LL.M. Entertainment, Arts and Sports Law (expected, May 2016)  
University of Miami School of Law

### **LIONEL J. YARMON, J.D.**

LL.M., Entertainment, Arts and Sports Law (expected, May 2016)  
University of Miami School of Law

# FORUM PARTNERS

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LEADERSHIP FORUM

## PRESENTED BY THE HOFFMAN FORUM

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The Aspen Institute Artist-Endowed Foundations Initiative/AEFI  
In Cooperation with University of Miami School of Law

### HOSTED BY

The Museum of Modern Art

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[www.law.miami.edu/hoffmanforum](http://www.law.miami.edu/hoffmanforum)

### THE ASPEN INSTITUTE ARTIST-ENDOWED FOUNDATIONS INITIATIVE/AEFI

Conducting Research, Publication and Knowledge Sharing Programs to Strengthen  
the Charitable Impact of the Emerging Artist-Endowed Foundation Field

[www.aspeninstitute.org/aefi](http://www.aspeninstitute.org/aefi)

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### THE MUSEUM OF MODERN ART

The Museum of Modern Art is a place that fuels creativity, ignites minds, and  
provides inspiration. MoMA is dedicated to the conversation between the past  
and the present, the established and experimental. Our mission is helping you  
understand and enjoy the art of our time.

[www.moma.org](http://www.moma.org)

# AEFI OVERVIEW

## Conducting Research, Publication and Knowledge Sharing Programs to Strengthen the Charitable Impact of the Emerging Artist-Endowed Foundation Field

[www.aspeninstitute.org/aefi](http://www.aspeninstitute.org/aefi)

The activity that now comprises the principal research component of The Aspen Institute Artist-Endowed Foundations Initiative/AEFI—the National Study of Artist-Endowed Foundations—was initiated in 2007 with the support of a donor consortium led by The Pollock-Krasner Foundation, Roy Lichtenstein Foundation, and The Andy Warhol Foundation for the Visual Arts, and including major arts funders such as the Ford Foundation and Getty Foundation. These donors realized the potential of this young field to substantially expand the nation’s cultural philanthropy resources. They also recognized the field’s growing role in stewarding substantial collections of artworks. Responding to numerous requests for information from persons considering or charged with foundation creation, and understanding the complexity of the task, they determined that a project to document and disseminate effective practices was in order.

As it has been since the effort’s inception, AEFI’s mission is to help the next generation of artist-endowed foundations make the most of their founders’ generosity in service to a charitable purpose. It aims to strengthen this next generation, and optimize its charitable capacity, by filling the significant information gap facing individuals involved in creating, leading, governing and advising foundations. By shortening the steep learning curve inherent in these distinctively endowed entities, AEFI helps ensure that charitable resources can be spent on charitable purposes, not costly lessons. To this end, AEFI conducts ongoing programs to develop and share knowledge with key audiences. These programs and their participants include:

- **RESEARCH AND PUBLICATIONS**—The Aspen Institute’s National Study of Artist-Endowed Foundations, regular Study Report Supplements and Updates, briefing papers on critical issues, and targeted publications, such as *A Reading Guide to the Study Report for Artists and Their Family Members*. These resources are used by artists, artists’ families, associates and advisors, foundation leaders, policymakers, journalists, scholars, educators and students.
- **KNOWLEDGE SHARING EVENTS**—Workshops for potential foundation creators, including artists and their spouses/partners, and artists’ surviving spouses and heirs; briefing sessions for foundation advisors,

including attorneys, art dealers, investment advisors, and accountants, hosted by local and national professional associations; and public panel discussions for members of the art, museum, philanthropy, education and media fields, and for interested public audiences, hosted by leading cultural institutions, including The Museum of Modern Art, New York, Los Angeles County Museum of Art, Harvard Art Museums, Cambridge, Fine Arts Museums of San Francisco, Graham Foundation for Advanced Studies in the Visual Arts, Chicago, and the Clark Art Institute, Williamstown, among others.

- **LEADERSHIP PROGRAMS**—The Seminar on Strategy for New Artist-Endowed Foundation Leaders, assisting the professional development of new foundation leaders, and the Artist-Endowed Foundation Leadership Forum, collaborating with foundation leaders, field experts, and thought leaders to examine and discuss critical issues and important innovations in policy and practice that are influencing the field.

# AEFI ADVISORS AND STAFF

## ADVISORS

### HONORARY CHAIR:

**CHARLES C. BERGMAN**  
Chairman and CEO  
The Pollock-Krasner Foundation

### ADVISORS:

**ALBERTA ARTHURS**  
Former Director, Arts and Humanities  
The Rockefeller Foundation

**RICHARD CALVOCORESSI**  
Former Director  
The Henry Moore Foundation

**MICHELLE ELLIGOTT**  
Chief of Archives  
The Museum of Modern Art

**RUTH FINE**  
Former Curator of Special Projects  
in Modern Art  
National Gallery of Art

**MARION R. FREMONT-SMITH**  
Senior Research Fellow  
Hauser Institute for Civil Society  
Harvard Kennedy School

**LOWERY STOKES SIMS**  
Curator Emerita  
Museum of Art and Design

**MARC-ANDRÉ RENOLD**  
Director  
Art-Law Centre  
University of Geneva, CH

**AIDA RODRIGUEZ**  
Professor of Professional Practice  
Milano School of International Affairs,  
Management and Urban Policy  
New School

**STEPHEN K. URICE**  
Professor of Law  
University of Miami School of Law

## STAFF

**CHRISTINE J. VINCENT**  
Project Director  
Artist-Endowed Foundations Initiative/  
AEFI  
The Aspen Institute

**TRACEY A. RUTNIK**  
Deputy Director  
Program on Philanthropy and  
Social Innovation  
The Aspen Institute

# AEFI DONOR CONSORTIUM

## DONOR CONSORTIUM LEADERS

Roy Lichtenstein Foundation  
The Pollock-Krasner Foundation  
The Andy Warhol Foundation for the Visual Arts

## DONOR CONSORTIUM MEMBERS\*

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Anonymous Charitable Foundation	Henry Luce Foundation
Artists' Legacy Foundation	Lucid Art Foundation
Michael Asher Foundation	The Robert Mapplethorpe Foundation
The Aspen Institute/PSI	Pierre & Tana Matisse Foundation
Berkshire Taconic Community Foundation	Joan Mitchell Foundation
California Community Foundation	The Henry Moore Foundation
The Clark Art Institute	The New York Community Trust
Dedalus Foundation	Niki Charitable Art Foundation
The Jay DeFeo Trust	Robert Rauschenberg Foundation
Ford Foundation	Herb Ritts Foundation
Sam Francis Foundation	Rockefeller Brothers Fund
Helen Frankenthaler Foundation	The Judith Rothschild Foundation
Jacque & Natasha Gelman Trust	Eugene V. and Clare E. Thaw Charitable Trust
The Getty Foundation	Louisa Stude Sarofim
The Adolph & Esther Gottlieb Foundation	University of Miami School of Law
Agnes Gund Foundation	Phyllis C. Wattis Foundation
Frederick Hammersley Foundation	
Keith Haring Foundation	
Harpo Foundation	
The Hoffman Forum	

*\*Current as of October 1, 2015*

# UPCOMING PROGRAMS

## SEMINAR ON STRATEGY FOR NEW ARTIST-ENDOWED FOUNDATION LEADERS

The **Seminar on Strategy for New Artist-Endowed Foundation Leaders** supports the professional development of individuals who have new leadership responsibilities for artist-endowed foundations—directors, officers, trustees, board members, senior staff—and orients them to the characteristics of these distinctive organizations. Chief among these are foundations’ complex charitable business models, diverse philanthropic practices, influential art historical role, and manifold governance and stewardship requirements. The Seminar is based on the findings of AEFI’s National Study of Artist-Endowed Foundations. Site visits and sessions with seasoned foundation leaders are central to the curriculum.

**DATES:** June 6 - 10, 2016, five full-day sessions with some evening activities

**LOCATION:** New York City, hosted\* by the Richard Avedon Foundation, Dedalus Foundation, Helen Frankenthaler Foundation, Roy Lichtenstein Foundation and Joan Mitchell Foundation

### WHO SHOULD CONSIDER ENROLLING IN THIS COURSE:

Individuals who have new leadership responsibilities for artist-endowed foundations—directors, officers, trustees, board members, senior staff

Individuals designated to assume foundation leadership roles in the future, for example upon the artist’s death, including artists’ family members and professional associates

Leaders of artist-endowed foundations who are interested in an opportunity for a refresher professional educational experience in a collegial setting

**REGISTRATION:** By application, acceptance will be determined by the Seminar Admissions Committee

**TUITION:** \$2,500\*

**COMPLETION CERTIFICATE:** Conferred by The Aspen Institute Artist-Endowed Foundations Initiative/AEFI and University of Miami School of Law

**APPLY:** Sign-Up for Notice at [www.aspeninstitute.org/aefi](http://www.aspeninstitute.org/aefi) “Join Our Email List”

*\*Current as of October 2015. Subject to change.*

